



# Sex, gender and influenza



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# **Sex, gender and influenza**

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# Abbreviations

AIDS	acquired immunodeficiency syndrome
CI	confidence interval
COPD	chronic obstructive pulmonary disease
HIV	human immunodeficiency virus
ICU	intensive care unit
LAIV	live attenuated influenza virus
OR	odds ratio
RR	relative risk
SARS	severe acute respiratory syndrome
TB	tuberculosis
Th1	helper T cell type 1
Th2	helper T cell type 2
TIV	trivalent inactivated virus
vs.	versus
WHO	World Health Organization



# Summary

Whilst sex and gender are known to have an impact on the vulnerability of people to a number of infectious diseases, the impact of sex and gender on exposure, susceptibility and immune responses to acute infections has not yet been explored comprehensively. Influenza represents a global infectious disease that can affect human populations through seasonal epidemics, pandemics and localized outbreaks. The incidence, severity and case fatality rates following influenza infection can differ between males and females, but are often age-dependent and vary between countries. The evaluation of influenza morbidity and mortality data from seasonal, outbreaks, previous pandemics and the first wave of the 2009 H1N1 pandemic reveals that the outcome of infection is generally worse for females, but that the magnitude of this difference varies across geographical regions. Although pregnancy is one factor contributing to a worse outcome in women, several additional risk factors may influence male-female differences in the outcome of influenza. For example, the severity of asthma and other chronic respiratory conditions as well as rates of diabetes and obesity is often worse in women than men.

Women are also more likely than men to be caregivers and to work in health-care occupations, which may increase their exposure rate to influenza. Immunologically, males and females respond differently to viruses, including influenza, which may reflect genetic as well as hormonal differences between the sexes. Sex and gender also have an impact on immune responses and adverse side effects following vaccination against influenza. Women mount higher antibody responses and experience more frequent and severe side effects than men, a finding that should be incorporated into the drafting of guidelines for vaccination worldwide. The effectiveness of antiviral therapies may also vary in a sex-dependent manner.

Finally, pregnancy can significantly alter responses to influenza infection and vaccination. Specifically, the severity of the disease is worse among pregnant women, especially during the second and third trimesters, than in the general population in most regions of the world. Although the increased risk of seasonal and pandemic influenza to pregnant women has been documented, the biological basis of this risk has yet to be defined. While significantly more research is required to gain a complete understanding of the complex and varied effects of sex and gender on influenza infection and vaccination, the available data demonstrate the significant impact these factors can have on an acute infection and underscore the need to consider the interplay of these factors with any infectious disease of global concern.



# 1 Introduction

Approximately 15 million of the 57 million deaths that occur annually worldwide are directly attributable to infectious diseases.<sup>1</sup> Most of the burden of morbidity and mortality occurs in developing countries.<sup>1</sup> The World Health Organization (WHO) has addressed infectious diseases around the globe, with consideration of the impact of sex and gender on chronic infectious diseases, including HIV and tuberculosis.<sup>2,3</sup> The role of sex and gender in acute infectious diseases, such as influenza, has not been explored extensively. The global public health burden of influenza is receiving renewed attention in light of the appearance of new influenza strains (e.g. H5N1 and 2009 H1N1) and the continued circulation of seasonal influenza.

Sex and gender have an impact on people's vulnerability to influenza, as well as the course and outcome of infection and vaccination. The impact of sex and gender on the

outcome of infection is influenced by a number of global, social and biological factors. Recent scientific advances are providing insights into the immunological and behavioural differences between males and females<sup>4,5</sup>, which allow for a detailed discussion of how sex and gender impact emerging infectious diseases.<sup>6</sup> The WHO Gender Strategy<sup>7</sup> mandates that "the different needs of women and men are considered at all stages of policy and programme development". Despite this mandate, consideration of sex and gender in emerging infectious disease programmes is still the exception rather than the rule. A greater understanding of how sex and gender influence the epidemiology of influenza may impact clinical, public health and government activities that are critical in the prevention and control of influenza.



# 2 Sex and gender defined

*Sex.* While the terms sex and gender are often used interchangeably, it is important to note that they refer to very different aspects of human biology and behaviour. The term **sex** refers to biological and physiological characteristics that define males and females. Males and females differ at every biological level, with differences occurring in cells, organs, organ systems and anatomy.<sup>8</sup> For example, the basic organization of chromosomes is different between male and female cells. Male cells have one X and one Y chromosome, whereas female cells have two X chromosomes. This is critical for infectious diseases because many genes involved in immune responses are encoded on the X chromosome. The biological process of inactivation of one X chromosome results in mosaic expression of either the paternal or maternal X chromosome in each cell in females. If an X-linked mutation occurs, it will be expressed in approximately half of the cells from females and all of the cells in males, resulting in increased X-linked immunodeficiencies in males as compared with females.<sup>4,9</sup>

Reproductive organs and circulating sex steroid hormone concentrations differ between males and females, with males having testes that generally secrete high concentrations of androgens and females having ovaries that produce high concentrations of estrogens and progesterone.<sup>8</sup> The hormonal differences between males and females can vary according to age (e.g. increased circulating concentrations of sex steroids after puberty and reduced circulating concentrations with older age) and reproductive status (e.g. concentrations of estrogens and progesterone increase over the course of pregnancy and change over the menstrual cycle). The anatomical differences between males and females are particularly important during pregnancy and for susceptibility to a number of infectious diseases.<sup>10</sup>

*Gender.* The term **gender** refers to the roles, behaviours, activities and attributes that individual societies consider appropriate for men and women. Examples of gender dif-

ferences include differences in societal rules and norms that, to a large extent, determine what men and women believe and value, and how they behave.<sup>8</sup> Gender norms often differentially impact the health practices of men and women. For example, norms about smoking differ widely between men and women, with smoking generally being more common in men than women.<sup>11, 12</sup> Norms for the education of boys and girls traditionally tended to favour education of boys over girls; these norms, however, have been changing in many countries resulting in greater educational opportunities for women of all ages.<sup>13, 14</sup> Education of women is beneficial for reducing both malnutrition and child mortality in developing countries.<sup>15</sup> In some cultures, masculine gender norms impact whether men seek out health care and comply with medical treatments.<sup>16</sup>

In almost all societies, gender differences also impact the responsibilities for earning money, occupations, and the roles and responsibilities in a variety of family-related activities (e.g. food preparation, cooking, shopping, chores, and care of children and family members, including when they become ill). Societies also differ with respect to the relative status of men and women, the value society puts on male and female contributions to society, the ability of men and women to make decisions concerning their own health and the health of other family members, and access of men and women to and control over resources, all of which can have important effects on infectious diseases, including influenza.<sup>6</sup>

*Interaction of sex and gender with infectious disease.* Sex and gender differences can affect exposure to pathogens, vulnerability to infectious diseases, health-seeking behaviours, and immune responses to pathogens<sup>5, 6</sup>, resulting in differences between males and females in the incidence, duration, severity and case fatality rates following infection.<sup>5</sup> The way in which sex and gender interact with infectious diseases depends on the specific pathogen. It is not unusual for both sex and gender to jointly impact differences between males and females in ways that make

it difficult to attribute the observed effects to either sex or gender alone. For example, pregnancy is a biological factor because only women can become pregnant. Yet gender often has a large impact on the nutritional status of women by influencing, for example, the information available to women on nutrition and available resources, access to economic resources and decision-making authority, especially in low-income societies.<sup>15</sup> The influence of sex and gender on responses to an infection can vary

considerably around the world and this can make generalizations and extrapolation of data from one country to another complicated. The interaction of sex and gender with infectious diseases also can change throughout the life-course. Because both sex and gender influence the manifestation and consequence of infectious diseases in men and women, these factors need to be considered in order to have more effective public health programmes for infectious diseases, including influenza.

# 3 Influenza viruses

## 3.1 Viruses

Influenza is caused by one of three viruses – influenza A, B or C – with influenza A and B being responsible for the vast majority of the 200 000 to 500 000 deaths and billions of US dollars in economic losses attributed to annual infections globally.<sup>17,18</sup> Influenza impacts the human population through three kinds of outbreaks. Seasonal influenza is caused by strains of influenza that circulate continuously in the human population, resulting in a portion of the population that has pre-existing immunity due to prior exposure or exposure to similar influenza strains and is, therefore, protected from infection. Currently, there are two influenza A viruses (H1N1 and H3N2) and one influenza B virus which are responsible for annual epidemics.

An influenza pandemic occurs when an influenza A virus strain that is antigenically different from the seasonal virus strains enters the human population. There have been four documented pandemics in the past 100 years (1918, 1957, 1968 and 2009). The lack of pre-existing immunity to the pandemic strain in the human population leads to a substantial increase in the total number of influenza cases and, therefore, the number of influenza-related deaths, even if the newly emerged virus does not cause more severe disease than seasonal influenza. Finally, there are outbreaks of influenza where limited numbers of humans in defined geographical areas are exposed to novel influenza viruses.

The ongoing outbreaks of H5N1 influenza are an example of this type of exposure. In the temperate zones of the northern and southern hemispheres, influenza cases peak in the winter months, but in the tropical and subtropical zones, cases appear over much longer periods of time.<sup>19</sup> With the emergence of the 2009 H1N1 influenza virus strain, the first influenza pandemic of this century, we once again face a time of increased disease burden from



*A woman receives medical care in Myanmar.*

influenza. In this report, data regarding the 2009 H1N1 pandemic are limited to the so-called ‘first wave’ of cases in the northern hemisphere and the winter influenza season in the southern hemisphere, roughly covering April to September 2009.

## 3.2 Prevention and treatment

There are two types of vaccines capable of eliciting a protective immune response to influenza.<sup>20</sup> The trivalent, inactivated virus (TIV) vaccine is composed of three virus strains that are administered via intramuscular injection and have been inactivated and partially puri-

fied. The live attenuated influenza virus (LAIV) vaccine consists of the same three influenza virus strains but in weakened forms that are no longer capable of causing disease. However, it can replicate and induce an immune response in vaccines. There also are two classes of antivirals that are effective against influenza<sup>21</sup> – the

ion channel blockers (amantadine, rimantadine) and the neuraminidase inhibitors (oseltamivir and zanamivir). Both classes of drugs are effective if administered within 48 hours of symptom onset, but antiviral resistance to each class is highly prevalent in seasonal influenza virus strains.<sup>22</sup>

# 4 Male-female differences in morbidity and mortality from influenza

## 4.1 Incidence

Whether the precise incidence rates of influenza differ for males and females is difficult to ascertain, especially with the paucity of data from developing countries. The symptoms of influenza are similar to those of other diseases, which makes influenza difficult to distinguish from other diseases on this basis alone. Laboratory diagnosis is required to confirm influenza, which requires utilization of health-care services. Because seasonal influenza typically lasts 3–5 days, a small proportion of cases result in utilization of health care and an even smaller percentage of those cases are confirmed. Utilization of health-care services, confirmation of cases and misdiagnosis, for example, can differ between men and women as a result of physician or patient biases as has been demonstrated for other diseases.<sup>23</sup>

In developed countries, such as the United States of America and Spain, the reported incidence of infection with seasonal influenza viruses is higher in males (up to 60% in the United States) than females of diverse ages, ranging from infants to elderly adults<sup>24, 25</sup> (see Table 1). Available data on male-female differences in the number of cases of seasonal influenza are limited and, therefore, conclusions are difficult to draw. Most reports of cases of seasonal influenza do not analyze data for male-female differences and, if they do, they often do not consider the interaction between sex and age. There also is a paucity of data from developing countries.

The transmission of H5N1 is most often from infected poultry to humans, with very limited human-to-human transmission; thus, the patterns of exposure and associated risk factors are different from those for seasonal or pandemic influenza viruses. As of November 2009, there had been 444 confirmed H5N1 cases and 262 deaths reported to WHO. Worldwide, cases of H5N1 occur equally

between males and females; there are, however, significant male-female differences in individual countries. In Thailand, females account for 36% of the laboratory confirmed H5N1 cases, whereas in Egypt females account for 68% of the cases.<sup>26</sup> In Indonesia, there were significantly more cases of H5N1 among young adult women in 2007 (female odds ratio (OR): 2.6, 95% confidence interval (CI): 1.2–5.6), but not in other years examined from 2003–2008.<sup>26</sup> The mean age of male and female cases are generally not different, except in Thailand where males have been significantly younger than females (16 vs. 33 years of age).<sup>26</sup> Many behaviours, including those associated with occupation or responsibilities in the home, change at the time of puberty and could impact the risk of exposure to H5N1 influenza; thus, age-associated differences in rates of exposure should be considered separately for males and females. The limited number of H5N1 cases in several geographical regions also makes definitive conclusions difficult to draw.

As the 2009 H1N1 pandemic continues to evolve, whether or not the incidence of infection differs between the sexes remains unresolved. Evaluation of the first wave of 2009 H1N1 through the northern hemisphere and during the winter influenza season in the southern hemisphere reveals male-female differences that vary between countries. Available data from Canada (April–May 2009) indicate that male-female differences in the incidence of infection vary with age to the extent that the incidence of infection with 2009 H1N1 is higher in males than females 10–19 years of age, higher in females than males 20–39 years of age, and is equal between the sexes after 40 years of age.<sup>27</sup> Argentina (April–May 2009) also reports age-dependent differences between the sexes, in which the incidence of infection with 2009 H1N1 was higher in females 20–39 years of age, but was higher in males 40–59 years of age.<sup>28</sup> In France, early reports (May–July 2009)

suggested a higher incidence of infection among females than males 0–19 years of age for non-imported cases (i.e. people who had not travelled to other countries with confirmed 2009 H1N1) ( $n = 90$ ).<sup>29</sup> In Brazil (April–August 2009), the number of laboratory confirmed 2009 H1N1 cases was higher for females (56.5%), a majority of whom were of reproductive age (15–49 years of age; 2256 of 3249 women with confirmed 2009 H1N1), and of whom 23.3% were pregnant.<sup>30</sup> Other countries, including Belgium (May–June 2009;  $n = 130$ ), Italy (April–June 2009;  $n = 54$ ), and Peru (May–September 2009;  $n = 4263$ ), reported no male–female differences in the number of cases of 2009 H1N1 during the first few months of surveillance, but these countries also did not report analyzing data stratified by age group.<sup>31–33</sup> Unfortunately, many reports from countries, including Spain (April–May 2009), the Netherlands (May–June 2009), and Thailand (May–July 2009), as well as aggregated case reports from several European countries (April–June 2009), do not stratify or compare the incidence of 2009 H1N1 by sex.<sup>34–37</sup> Analysis of male–female differences in incidence data stratified by age group is necessary to properly evaluate whether these differences are conserved across the life-course and in diverse regions of the world. For studies conducted in Argentina, Brazil, Canada and France in which male–female differences in the incidence of 2009 H1N1 are stratified by age, it appears that there are more cases of young adult females than males of comparable ages.

## 4.2 Morbidity

Rates of hospitalization (see Table 1) from seasonal influenza viruses are consistently higher in males than females of all ages, where data are available. This is especially true among young children (male OR: 1.9, 95% CI: 1.0–3.7) and the elderly (1393 per 100 000 for males and 969 per 100 000 for females), as demonstrated by data from Canada.<sup>38–40</sup> Data from Denmark suggest that male–female differences in the risk of hospitalization from seasonal influenza virus shift at puberty, such that males are more likely to be hospitalized before puberty (relative risk (RR) for males: 1.64, 95% CI: 1.29–2.08), whereas females are more likely to be hospitalized after puberty (RR for males: 0.61, 95% CI: 0.45–0.81).<sup>41</sup>

The first cases of the 2009 H1N1 pandemic in the United States were in California (April–May 2009), where

553 cases were reported, of which 26 required hospitalization with confirmed 2009 H1N1 virus. Of the confirmed hospitalized cases, a majority (21/26) were women, five of whom were pregnant.<sup>42</sup> More recent analyses of cohorts of the first patients hospitalized with 2009 H1N1 infection in Australia/New Zealand (June–August 2009;  $n = 722$ ), Mexico (March–June 2009;  $n = 58$ ) and the United States (April–June 2009;  $n = 272$ ) reveal that similar proportions of males and females (females = 52.1% in Australia and New Zealand, 53.4% in Mexico and 49% in the United States) were hospitalized.<sup>43–45</sup> Evaluation of the differences between males and females in hospitalization and development of critical illness following infection with 2009 H1N1 influenza is confounded by age, as most studies do not report male–female differences according to age group.<sup>43–45</sup> In Canada (April–August 2009), there were 168 critically ill patients with confirmed or probable 2009 H1N1 influenza, a majority of whom were young adult females (67.3% with 7.7% pregnant).<sup>43</sup> The reason for the greater proportion of hospitalized women than men in Canada is not known, but many cases involve comorbid conditions, including chronic lung disease (e.g. asthma), which is typically more severe in females than males.<sup>46–49</sup> In Brazil (April–August 2009), rates of hospitalization with severe acute respiratory illness have been higher among females (57.5%) than males, with a majority of the females being of reproductive age (15–49 years of age), of which 20.8% were pregnant.<sup>30</sup> Similarly, in Victoria, Australia (May–September 2009), although approximately half of the hospitalized cases with confirmed 2009 H1N1 were males, of the 108 2009 H1N1 cases that required intensive care unit (ICU) admission, 55% were females.<sup>50</sup> Further, in New South Wales, of the 225 ICU admissions with confirmed 2009 H1N1 (June–August 2009), 53% were females.<sup>51</sup>

As the 2009 H1N1 pandemic evolves, it will be important to consider whether biases exist in the collection or reporting of data, which may influence whether or not male–female differences are observed. Examination of these differences in larger, more complete datasets will be necessary, especially if data are further disaggregated by age. Consideration of age-associated effects on the differences between males and females in the development of critical illness and hospitalization is important as several biological (e.g. hormonal) and behavioural transitions occur after puberty in individuals of many cultures. One

trend that appears consistent across several countries is that more females of reproductive age are hospitalized with critical illness than males. Finally, the presentation of disease and constellation of symptoms expressed following infection may differ between males and females and should be considered in clinical settings.

### 4.3 Mortality

Fatality due to influenza viruses differs between males and females (see Table 1). Mortality from seasonal influenza viruses is most common among children (i.e. <5 years of age) and the elderly (i.e. 65+ years).<sup>52</sup> In elderly populations, crude mortality rates are higher in women in Portugal (21 per 100 000 for women and 14 per 100 000 for men), but higher in men in Switzerland.<sup>53, 54</sup> Because women tend to live longer than men, age-adjusted death rates from influenza should be analyzed. In the United States, during the 1957 pandemic and two subsequent epidemic years of H2N2 influenza, mortality was higher among females than males in the 1–44 age group, but was higher among males than females among individuals over the age of 44.<sup>55</sup> Importantly, when mortality data were not stratified by age group, no male-female differences were observed during these H2N2 epidemics.

Case fatality rates for avian influenza have ranged widely between countries from 33% to 88%. Within most countries, males and females have similar case fatality rates; when data from all countries are combined, however, females are less likely to survive than males. An analysis of all reported H5N1 cases through May 2008 revealed that the risk of mortality from H5N1 is 1.6 times higher for females than males.<sup>26</sup> Analyses of H5N1 cases in Indonesia reveal that case fatality rates are higher in females (89%) than males (73%).<sup>56</sup> In Egypt, females are 10 times more likely to die from H5N1 than males.<sup>57</sup>

Mortality rates during the 1918 H1N1 pandemic were higher in adult males (15–44 years of age) in the United States (672 per 100 000 for males and 498 per 100 000 for females) as well as in 12 other countries (Australia, Denmark, Finland, France, Italy, the Netherlands, New Zealand, Norway, Spain, Sweden, Switzerland and the United Kingdom) for which age-adjusted mortality data are available.<sup>58–60</sup> Mortality from 2009 H1N1 is not common, but data from South Africa, where the incidence of co-infection with HIV and tuberculosis (TB) is high, reveal that 59 of 91 fatal cases from April–October 2009 were female and, of the 59 females, 45 were young adults of reproductive age, 25 of whom were pregnant.<sup>61</sup> As noted in Sections 5 and 7, co-infection with HIV or TB as well as pregnancy can significantly impact the outcome of influenza virus infection. In contrast, in Victoria, Australia (May–September 2009), 58% of fatal 2009 H1N1 cases were males<sup>51</sup>, and in Brazil (April–August 2009) and Peru (May–September 2009) case fatality rates from 2009 H1N1 were equal between males and females.<sup>30, 33</sup> To date, no consistent pattern of male-female differences in mortality from the 2009 H1N1 has emerged.

Most epidemiological studies do not exhaustively assess male-female differences in the clinical features, age of the patient, severity or outcome of influenza virus infections. Future studies aimed at identifying the biological as well as behavioural factors that impact male-female differences in response to influenza viruses are necessary. Consideration of differences in health-seeking behaviours, access to health-care services, comorbid conditions, behavioural risk factors, and immunological differences between males and females must continue to be incorporated into statistical analysis models.



# 5 Male-female differences in risk factors for influenza-related morbidity and mortality

Certain risk factors predispose patients to increased morbidity and mortality following exposure to influenza viruses.<sup>20</sup> The severity and prevalence of these underlying conditions that predispose individuals to increased influenza-related morbidity often differ between males and females resulting in the potential for differential burden from influenza between the sexes. The constellation of risk factors for increased morbidity also differs between seasonal influenza, avian influenza, past pandemic strains, and the 2009 H1N1 pandemic strain. Male-female differences in the risk factors for increased severity of seasonal, outbreak and pandemic influenza are hypothesized to contribute to male-female differences in the outcome of infection.

## 5.1 Age

Age is the most significant risk factor for increased seasonal influenza-related mortality, with 90% of seasonal influenza-related deaths occurring in individuals over the age of 65 years.<sup>62</sup> In contrast, during the 1918 H1N1, 1957 H2N2, and 1968 H3N2 pandemics as well as during the 1957–1958 H2N2 epidemic, half of the influenza-related deaths occurred among individuals less than 65 years of age.<sup>55, 60, 63</sup> In most countries around the world, mortality rates from all causes are higher for males than females in all age groups with the proportion of the population that is female increasing with age.<sup>64</sup> In 2007, United Nations



*An elderly woman with health-care providers from the Myanmar Women's Association Kan Thar Lay, Myanmar.*

estimates showed 275 million women above the age of 65 as compared with 217 million males in the same age range worldwide. Worldwide, women over the age of 65 outnumber men 4 to 3 and, over the age of 80, women outnumber men by 2 to 1.<sup>65</sup> Despite the fact that women over the age of 65 outnumber men, examination of the 1957 H2N2 pandemic and two subsequent H2N2 epidemic influenza seasons in the United States still showed higher influenza/pneumonia-related mortality rates per 100 000 males as compared with females in this age range.<sup>55</sup>

Age also might impact the outcome of influenza virus infection differently between males and females through notable physiological and behavioural changes that occur over the life-course. Sex determination and differentiation occur during prenatal development and involve

genetic and hormonal factors that cause the primordial reproductive system to become either feminized or masculinized. These biological sex differences are present at the time of birth and probably impact the development of the respiratory system and susceptibility to respiratory tract infections.<sup>66</sup> Previously, it had been shown that susceptibility to severe acute respiratory diseases was greater for infant boys than girls<sup>66, 67</sup>, but these studies did not consider whether infants were breastfed or formula-fed. Recent evidence from Argentina reveals that infant feeding regimens determine the severity of acute respiratory illness differentially between the sexes. Specifically, among formula-fed infants, the severity of respiratory diseases, including influenza, is significantly higher for girls than boys.<sup>68, 69</sup> Breastfeeding can protect against severe respiratory diseases, but this protection appears to be restricted to girls.<sup>68, 69</sup> Despite the advantage of breastfeeding for girls, rates of breastfeeding (i.e. the proportion of individuals breastfed), at least in Argentina, are still higher for boys than girls.<sup>69</sup> These data illustrate the complex interactions between sex and gender that are a likely influence on susceptibility to influenza viruses among children.

During the pubertal period, hormonal and behavioural changes result in different expressions of secondary sex characteristics, fertility and behaviours that reinforce gender identity through adolescence and into adulthood.<sup>8</sup> Many of the biological differences between the sexes are reflective of the hormonal changes that occur during puberty, resulting in increased synthesis of androgens in the testes of males and estradiol in the ovaries of females. There also is normal variation in the timing of puberty that can vary according to genetic, ethnic, and cultural differences.<sup>8</sup> Physiological and hormonal changes at puberty do not occur in isolation and often coincide with significant changes in behaviour and social roles. As individuals progress through adulthood (approximately 40 years), their occupations, social roles and lifestyles change. Further, adult women, but not men, experience fluctuations in their reproductive condition associated with reproductive cycles and pregnancy. As women age, there is a five to 10 year time period of menopause-related changes in hormone patterns and fertility.<sup>8</sup> Although less well characterized, there is a decline in androgen production that occurs in men between the ages of 48–70.<sup>70</sup> At each stage over the course of the lifespan, these

hormonal, physiological and behavioural changes can impact both exposure to and the outcome of infection. As noted in Section 4, many of the male-female differences in morbidity and mortality from influenza virus infection are age-dependent.

## 5.2 Co-infection

Influenza-related mortality is highest in those at the extremes of age, either the very young or the very old.<sup>20</sup> This age-associated pattern is seen annually with seasonal influenza and also was noted in the 1957 and 1968 pandemics.<sup>71</sup> The influenza-related mortality curve during the 1918 pandemic, however, was notably different from seasonal and other influenza pandemics in that increased mortality was observed not only in the very young and the very old, but also among young adults, particularly young men aged 25–44 years, based on data collected in Japan, the United Kingdom and the United States.<sup>52, 72</sup> Prevalence studies also have shown a male predominance in the number of cases of TB during the 1918 influenza pandemic.<sup>73</sup> One hypothesis for the increased mortality rates among males during the 1918 influenza pandemic is that co-infection with influenza and TB may have led to a higher likelihood of influenza-related deaths among males<sup>58, 74</sup>; this hypothesis, however, is still debated.<sup>58, 75–77</sup>

Influenza in immunocompromised individuals is associated with increased disease severity and is recognized as a comorbidity for seasonal influenza.<sup>20</sup> Rates of HIV in females are approaching that of males worldwide.<sup>78</sup> In 2007, it was estimated that of the 30.8 million individuals living with HIV around the world, 15.5 million were women. The greatest increase in HIV prevalence in women is occurring in sub-Saharan Africa, where females acquire HIV at a younger age than males (see Figure 1). Following infection with HIV, women progress to AIDS faster than men, suggesting that disease severity is worse in women.<sup>79</sup> Studies in Africa, India, the Middle East and the United States have shown disparities in access to care for women with HIV.<sup>80–83</sup> Women also traditionally have a more difficult time accessing treatment for HIV, possibly predisposing them to worse outcomes.<sup>80, 83, 84</sup> Whether infection with HIV and progression to AIDS differentially affects the outcome of influenza virus infection in males and females has not been reported.

### 5.3 Chronic diseases

Chronic respiratory and cardiovascular diseases are two major comorbid conditions that predispose patients to increased seasonal influenza-related morbidity<sup>20</sup> and male-female differences in chronic respiratory conditions have been reported. Data from Canada and the United States illustrate that, prior to puberty, males have higher numbers of asthma exacerbations than females; this trend, however, is reversed in adulthood.<sup>85</sup> Several studies indicate that rates of asthma attacks, numbers of asthma-related emergency-room visits, numbers of asthma-related hospitalizations, and duration of hospitalization are higher in adult females than males in the United States.<sup>46–49</sup> Higher rates of asthma-related deaths among females than males span diverse ethnic groups, including among African Americans, Asians and whites in the United States.<sup>86</sup> In addition, use of hormone replacement therapy in postmenopausal women is associated with higher rates of asthma exacerbations, and use of oral contraceptives can reduce airway hyperresponsiveness suggesting a potential hormonal influence on asthma.<sup>87–89</sup> During the 2009 H1N1 pandemic, asthma was an underlying condition in 29% of children and 27% of adults hospitalized with critical illness in the United States.<sup>45</sup> Whether asthma differentially impacts the severity of disease caused by 2009 H1N1 between the sexes has not been investigated.

Male-female differences also are observed in chronic obstructive pulmonary disease (COPD). Several studies in both adults and adolescents in Denmark and the Netherlands have shown lower forced expiratory volume in one second (FEV1), a faster decline in FEV1, and increased smoking-related bronchial responsiveness in adult female smokers as compared to adult males.<sup>90–93</sup> These studies suggest that females may metabolize some constituents of tobacco smoke differently to males making them more susceptible to the toxic effects of tobacco, including development of COPD. Females with COPD report worse symptoms, lower exercise capacity, and more airway hyperresponsiveness than males.<sup>91</sup> Although morbidity from these conditions may be worse in females as compared to males, mortality both from all causes and from respiratory-related disease alone is still higher in males with COPD.<sup>94</sup> The exact impact of chronic respiratory conditions on influenza outcome and

how this may vary between men and women is unclear; male-female differences, however, in the prevalence and morbidity/mortality associated with these chronic conditions should be evaluated in the context of the current pandemic.

Independent of a diagnosis of asthma or other chronic respiratory condition, tobacco use alone also is associated with increased rates and severity of influenza illness. Studies among otherwise healthy military recruits in Israel (both males and females) and in the United States (men only) have shown a 20–30% increase in the rate of influenza in smokers as compared to non-smokers.<sup>11,12</sup> In addition, there was a dose-related increase in the severity of influenza with increased cough, dyspnoea and wheezing with increasing tobacco usage. Smoking is much more common in men than in women with global estimates of smoking behaviour being 48% of men and 12% of women (see Figure 2).<sup>11,12,95</sup> Tobacco use among females is rising, particularly among young women.<sup>12,95</sup> These data further demonstrate that male-female differences in certain risk factors change due to cultural practices. Whether the interplay between sex, tobacco use and respiratory disease impacts the rate of or morbidity from influenza remains unclear.

Rates and severity of cardiovascular disease differ between elderly adult men and women, particularly in developed countries. Although data from developing countries are more limited, data from the Middle East, Spain, the United Kingdom and the United States suggest that although women develop cardiovascular disease approximately 10 years after men, they appear to have higher rates of post-myocardial infarction, congestive heart failure, and cardiovascular-related mortality than men, even after controlling for age.<sup>96–99</sup> Male-female differences are partly caused by differences in the presentation, symptoms and responses to treatments between males and females.<sup>96–100</sup> Further, the quality of care for cardiovascular disease often differs between males and females. The Framingham Heart Study in the United States as well as a study in 26 countries in Asia, Europe, Latin America, North America and the Middle East, and in Australia, Morocco and Turkey showed that elderly women receive different antihypertensive regimens than elderly men and that women had significantly worse blood pressure control than men of similar age.<sup>101,102</sup>

Data on these comorbid conditions are much more limited in the developing world so generalization of these findings to other parts of the world is difficult. Also whether male-female differences in rates or severity of cardiovascular disease contribute to differences in the outcome of influenza virus infection should be considered.

#### 5.4 Diabetes and obesity

Other comorbid conditions associated with poorer outcomes from seasonal influenza include diabetes and obesity. Diabetes mellitus and other metabolic disorders are a recognized risk factor for severe influenza<sup>20</sup>, with a potential association between obesity, diabetes and increased 2009 H1N1 influenza morbidity. Rates of diabetes throughout the world, particularly in Africa, India and the Middle East are rising.<sup>103</sup> The lifetime risk of diabetes is higher in adult women than men (38.5% vs. 32.8%), at least in the United States where women account for approximately 55% of all diabetic-related deaths.<sup>104</sup> Globally, among individuals 65 years of age and older (i.e. the age group at highest risk for seasonal influenza-related morbidity and mortality) the prevalence of diabetes in women is slightly higher than in men (15% vs. 13%).<sup>103</sup>

Whether the increased risk of diabetes among adult women compared with adult men reflects the fact that women live longer must be considered through examination of male-female differences in age-adjusted rates. In the United States, gestational diabetes and rates of diabetes in obese adolescent girls have been increasing for the past decade, with this obesity epidemic now spreading to other areas of the world, including Asia.<sup>105–108</sup> Socioeconomic factors also affect rates of diabetes. In the United States, women, particularly those of lower socioeconomic status, receive less adequate diabetes care when compared with men of the same socioeconomic status, with variability in the number of services received as well as patient-associated factors (e.g. job benefits, child care and availability of transportation) contributing to differences between the sexes.<sup>104, 109</sup>

Females, particularly in developing countries, also tend to have higher rates of obesity as compared with males.<sup>110–112</sup> Although male-female differences in the rates of obesity vary from country to country, according to WHO, in 138 of 195 countries, females are over 50% more likely to

be obese than males.<sup>113</sup> Data from China, North Africa, South Africa, South America, the United Kingdom and the United States indicate higher rates of obesity in women as compared to men, whereas Australia and western Europe report that obese men outnumber obese women.<sup>110–112</sup> The higher rates of obesity and diabetes in females may be significant factors contributing to higher 2009 H1N1-related morbidity in women. There are no data on the interactions among sex, obesity, diabetes and outcome of influenza infection. Because rates of obesity and diabetes are higher in women, this may predispose them to worse outcomes following influenza virus infection. Whether obesity is a risk factor for increased morbidity and mortality from 2009 H1N1 or simply represents the epidemic of obesity that is occurring in the United States requires consideration. There also are limited data evaluating the connection between obesity and influenza in countries outside of the United Kingdom and the United States. Future studies should continue to examine the relationship between obesity, diabetes and influenza in diverse cultures.

#### 5.5 Immune responses

Another biological variable that differs between males and females and that may result in differential outcome of infection and development of disease is the response of the immune system. Sex differences in immune function are well established.<sup>114–116</sup> Innate detection of viruses by pattern recognition receptors, for example, differs between males and females.<sup>117</sup> Several genes (e.g. the *Tlr7* gene that encodes a receptor that recognizes single stranded RNA viruses, including influenza viruses) that encode for immunological proteins are on the X chromosome and may escape X inactivation, resulting in higher amounts of expression in females than males.<sup>118</sup> Studies in both humans and rodents illustrate that inflammatory immune responses are generally higher in females than males.<sup>119</sup> Clinical studies conducted in India, Italy, Sweden and the United States further reveal that men have lower CD3+ and CD4+ T cell counts, CD4+:CD8+ T cell ratios, and helper T cell type I (Th1) responses.<sup>120–123</sup> Cytokine responses, including production of interferons and interleukins, often differ between males and females during infection.<sup>116, 124–126</sup>

Both basal levels of immunoglobulin (Ig)<sup>127</sup> as well as antibody responses to viruses and vaccines are consis-

tently higher in females than males.<sup>128, 129</sup> The prevailing hypothesis for immunological differences between the sexes is that sex steroids, particularly androgens, estrogens and progesterone, influence the immune system<sup>114</sup>. Immune responses to viruses can vary with changes in hormone concentrations caused by natural fluctuations over the menstrual or estrous cycle, from contraception use, or during pregnancy. The extent to which immune responses differ between males and females during influenza virus infection requires assessment as this may contribute to differential severity of disease between the sexes. Disease associated with highly pathogenic influenza viruses and the clinical manifestations that ensue in humans, including fever, viral pneumonia, encephalitis, and acute respiratory distress syndrome, is hypothesized to be mediated by the profound proinflammatory cytokine and chemokine response (referred to as the ‘cytokine storm’) initiated by the host in response to infection.<sup>130, 131</sup> Humans, macaques and mice infected with highly pathogenic strains of influenza virus, including the 1918 H1N1 or avian H5N1, produce excessively high concentrations of proinflammatory cytokines and chemokines, which correlate with elevated mortality.<sup>130–135</sup>

Whether the duration and magnitude of the cytokine storm initiated during influenza virus infection differs between males and females has not been reported. Preliminary studies utilizing mouse-adapted pathogenic H1N1 influenza reveal that females mount higher inflammatory responses and are more likely to die following inoculation than are males.<sup>136</sup> Thus, elevated immunity in females against influenza A viruses represents a delicate balance between immune responses conferring protection through clearance of virus or causing pathology through increased production of proteins and an influx of immune cells into the lungs.

## 5.6 Occupation

In addition to biological differences between males and females, gender-specific societal roles and occupations may impact the risk of exposure to and acquisition of influenza. For example, there are significant differences between men and women in their patterns of contact with poultry that can impact transmission of H5N1 influenza.<sup>137, 138</sup> The size and structure of poultry farms and practices vary within and between Asian

countries. On smaller farms, women are often responsible for caring for backyard fowl as well as for buying and selling live fowl at markets<sup>139</sup>, whereas on larger farms and in commercialized systems men are more likely to be owners and employees.<sup>140</sup> A cross-sectional survey of 3600 backyard poultry owners in six provinces throughout Cambodia revealed that men, especially between the ages of 25–40, could have a higher risk of exposure to H5N1 than females because of increased contact with poultry.<sup>137</sup> Specifically, men are more likely than women to slaughter, remove internal organs and prepare poultry for consumption, and these tasks are each correlated with increased risk of exposure to H5N1.<sup>137</sup>

Similar studies of male/female differences in the occupational risk of exposure to H5N1 should be conducted in countries, such as Egypt, Indonesia and Viet Nam, where a majority of the cases have occurred. Additional consideration also must be given to the fact that male/female differences in contact with poultry vary with age as well as within and between countries. Increased mortality rates among men during the 1918 H1N1 pandemic may have been caused by participation in war and living in military camps in Europe and the United States, which increased the risk of acquiring influenza due to the close living quarters allowing for the rapid spread of the virus among troops.<sup>141</sup> The extent to which occupational differences between the sexes affect exposure to the 2009 H1N1 should be considered.

## 5.7 Caregiving

Influenza is primarily spread via respiratory droplets and contact with fomites; thus, close contact with influenza-infected individuals and their respiratory secretions results in higher risk of transmission.<sup>142</sup> As a result, health-care workers as well as those in frequent contact with young children are at a higher risk of exposure to influenza viruses, including seasonal outbreaks and pandemic strains, than are other individuals in the general public.<sup>143–145</sup> In most countries around the world, nurses, teachers and day-care workers are predominantly female<sup>146, 147</sup>, potentially leading to a gender-specific occupational risk for influenza acquisition. Women represent more than 50% of the health-care workforce in many countries (see Figure 3).<sup>28, 147–149</sup>

Responsibility for caregiving for both children and ill family members within the home also has emerged as an important risk factor for exposure to influenza viruses. Women in the United States are more likely to develop viral respiratory illnesses than men, whether they work outside the home or not. Women who work outside the home have a lower risk of developing a viral illness as compared to women who do not work outside the home, suggesting that societal roles, such as child care, plays a role in acquisition of viral illness.<sup>150, 151</sup> Equally important is the fact that caregivers may themselves be unable to seek adequate treatment because of their responsibilities, potentially resulting in delayed treatment, especially among women.

### 5.8 Personal hygiene

Hygienic practices are instrumental in reducing the risk of exposure to and acquisition of influenza. The frequency of practice of hygienic behaviours differs between males and females. The primary preventative methods to reduce transmission of influenza include good hand hygiene, appropriate respiratory etiquette (i.e. covering a cough or sneeze), social distancing when ill (i.e. not working or going to school when ill), and, in health-care settings, appropriate use of personal protective equipment including gowns, gloves, masks and eye protections. Hand hygiene compliance, including use of gel sanitizers, which is thought to be the most effective way to prevent transmission of influenza, differs significantly between men and women. In community studies conducted during the severe acute respiratory syndrome (SARS) outbreak in

Toronto as well as in health-care workers in the United States, women had significantly better hand-hygiene practices than men.<sup>152, 153</sup> Among health-care workers, self-reported rates of use and knowledge about appropriate personal protective equipment in response to influenza are similar between the sexes.<sup>154</sup>

### 5.9 Health-seeking behaviour and access to care

Differences in health-seeking behaviour or health-care access may impact both the acquisition and manifestation of seasonal, outbreak and pandemic strains of influenza. A World Health Survey in 59 countries from 2002–2004 revealed that adult women are more likely to seek out health care in both higher and lower income countries.<sup>147, 155, 156</sup> Access to basic health-care services, however, is often lacking in the developing world, especially for the poor.<sup>157–159</sup> Further, the quality of care for women in some parts of the developing world is not equivalent to that received by men, which may be due to several factors, including income, legal rights, socioeconomic status and educational differences between men and women in these countries.<sup>157–159</sup> In some countries, women also may be less likely to receive best practice-based care for comorbid conditions, such as cardiovascular disease, diabetes and HIV, which may put them at higher risk for complications from influenza.<sup>97, 98, 102, 157, 159</sup> Finally, access to information regarding health care and access to transportation to utilize medical care can, in some regions, also be lower for women than men.<sup>160</sup>

# 6 Male-female differences in response to influenza virus vaccines and antivirals

## 6.1 Rates of vaccination

Rates of vaccination against seasonal influenza viruses vary worldwide, which probably reflect discrepancies in the availability of vaccines in developed when compared to developing countries. Many reports of vaccination rates as well as the safety, efficacy and effectiveness of vaccines around the world do not disaggregate data by sex and are limited to the developed world.<sup>161–164</sup> Among health-care workers, from 1985–2002, rates of vaccination were higher in the United States than in Canada or Europe, with considerable variation within each country.<sup>162</sup> Surveys of countries in the European Union reveal that individuals over 65 years of age, health-care workers, individuals with underlying medical conditions and children are most likely to receive seasonal influenza virus vaccines.<sup>163, 164</sup> In the United States, rates of vaccination in the general population (1997–2008) were also highest among individuals over 65 years of age (66.9%), followed by individuals 50–64 years of age (39.4%), two to 17 years of age (28.5%), and 18–49 years of age (19.9%)<sup>165</sup>, which most likely reflect those groups targeted by governmental vaccine campaigns, at least in the United States.

The interaction between age and sex is apparent when looking at sex-specific rates of seasonal influenza vaccination. Data from national household surveys collected during the 2001–2008 annual influenza seasons in Eu-



*Nurses immunize patients against influenza at Kenyatta National Hospital, Kenya.*

rope reveal that several factors, including age, sex, socioeconomic status and occupation, contribute to the likelihood of receiving the seasonal influenza vaccine, which also varies significantly between countries.<sup>166</sup> In Europe, males of all ages are more likely to receive the seasonal influenza vaccine in the Czech Republic (OR: 1.34), France (OR: 1.26), Italy (OR: 1.43), Spain (OR: 1.37), the Netherlands (OR: 1.26), Poland (OR: 1.29) and Portugal (OR: 1.41).<sup>166, 167</sup>

Males and females are equally likely to be vaccinated in Austria, Finland, Germany and Ireland.<sup>166</sup> Analyses of vaccination data in the United States from 2005–2007 revealed that men 18–24 and over 70 years of age were more likely to receive the seasonal influenza vaccine,

whereas women 25–69 years of age were more likely to receive it.<sup>168</sup> In the United States, elderly female military veterans (75.5 + 6 years) were less likely than their male counterparts (73.9 + 5.6 years) to receive seasonal influenza virus vaccines.<sup>169</sup> Whether lower rates of vaccination among females in some European countries as well as among elderly people in the United States reflect greater negative beliefs about the risks associated with vaccination<sup>170</sup>, differences in physicians' recommendations regarding vaccination, or occupational differences require additional examination. Among health-care workers in China, 73% (982/1399) of adult women reported their intention to decline both the H5N1 and 2009 H1N1 vaccines as compared to 64% (291/467) of men.<sup>171</sup> Data from developing countries are sparse.

## 6.2 Immune responses

Immunological responses to seasonal influenza virus vaccines differ between males and females (see Table 2). Numerous randomized, double-blind studies conducted in Australia, China, the United Kingdom and the United States reveal that hemagglutination inhibition (HAI) titers following influenza virus vaccination are consistently higher in adult as well as elderly women than men of comparable ages.<sup>129, 172–179</sup> In response to the TIV, for example, in the United States, adult women (18–64 years of age) generate a more robust antibody response following vaccination than men.<sup>175</sup> Importantly, the antibody response of adult women to a half dose of the TIV vaccine is equivalent to the antibody response of adult men to the full dose.<sup>175</sup> Whether antibody responses to other viral proteins, such as the neuraminidase protein, or production of mucosal IgA differ between males and females has not been reported. Furthermore, the extent to which cell-mediated immune responses to vaccines, which are important for broad recognition of conserved epitopes across strains of influenza viruses, differ between the sexes requires consideration. Whether development of stronger immune responses to seasonal influenza vaccines among females confers greater cross-protection against seasonal variants or pandemic strains of influenza viruses should be evaluated. Reports of responses to the H5N1 and 2009 H1N1 influenza A viruses have not included analyses of immune responses by the sex of the subject.<sup>180, 181</sup> As clinical trials of the 2009 H1N1 vaccines are initiated, the differences between the responses of males and females should be

analyzed. Because HAI titers are a significant correlate of protection from influenza viruses, the data presented suggest that vaccine efficacy may be higher in females than males.<sup>182</sup>

## 6.3 Adverse side effects

In addition to having higher antibody responses, females consistently report more severe local and systemic reactions to influenza virus vaccines than males (see Table 2). Several prospective, randomized, double-blind studies conducted in Australia, Brazil, Finland, the Netherlands, Slovenia and the United States indicate that local reactions (e.g. redness, swelling, and tenderness), local inflammation, and injection site pain are consistently more severe and more frequent in adult and elderly women than men.<sup>172, 175, 179, 183–188</sup> In Brazil, for example, the independent variable that is most predictive of adverse reactions to the TIV vaccine in multi-regression logistic models is sex, with elderly women (over 60 years of age) being 5.89 times (95% CI: 2.08–16.68) more likely to report adverse reactions to the vaccine than elderly men.<sup>188</sup> In addition to the hypothesized increased pain sensitivity in females<sup>185</sup>, investigators should consider that elevated inflammatory responses in females<sup>4</sup> may also contribute local adverse reactions to the seasonal influenza virus vaccines and could be reduced by administration of lower doses of vaccine.<sup>182</sup> Evaluation of the mechanisms mediating how males and females differ in adverse side effects following vaccination and how these reactions could be mitigated, especially in females should be incorporated into clinical trials. Further consideration also must be given to whether influenza vaccines are administered with or without a modified alum adjuvant which has been documented to cause greater side effects in females<sup>189</sup>, perhaps due to stronger innate immune responses induced in females compared with males. The extent to which adverse reactions differ between males and females in response to vaccines against pandemic influenza viruses has not been reported, but should be considered, especially during the 2009 H1N1 pandemic. Unfortunately, most studies of safety, cross-reactivity and immunogenicity of seasonal and pandemic (e.g. H5N1 and 2009 H1N1) influenza virus vaccines in many countries, including Canada, China and the United States, do not decipher the responses of males and females in the analyses.<sup>180, 181, 190–192</sup>

## 6.4 Antiviral therapy

Antivirals are an effective treatment following infection with influenza viruses, including pandemic strains of influenza (see Table 2). Available data indicate that the rate of prescribing antivirals to influenza virus-infected individuals, ranging in age from infants to adults, is similar between males and females in the United States.<sup>193–195</sup> In contrast, inappropriate prescription of antibiotics for influenza in the United States (1995–2002) was greater for adult women than adult men (male OR: 0.6, 95% CI: 0.4–0.9).<sup>194</sup> Data from Israel reveal that adherence to oseltamivir treatment is similar between adult men (174/198) and women (2/3), but the low sample size of females in the study population makes it difficult to draw definitive conclusions.<sup>196</sup> A meta-analysis of data from random-

ized, double-blind clinical trials conducted in Argentina, Canada, China, Europe and the United States illustrates that following treatment with oseltamivir, adult males (n = 330) return to their baseline wellness, as measured by sleep (e.g. time to return to baseline sleep habits: median value eight hours for males and 28 hours for females) and daily activities (e.g. time to return to baseline daily activity rates: 24 hours for males and 40 hours for females), faster than females (n = 331), suggesting that antiviral treatment may be more effective in men than women.<sup>197</sup> Whether this observation reflects patient reporting biases, need for differential drug doses, or other confounding factors cannot be ruled out. These data do, however, indicate that sex and gender should be considered when evaluating the efficacy of antiviral treatments.



# 7 Influenza infection and pregnancy

Sex-based differences in the response to influenza infection and vaccination must take into account the uniquely female-specific state of pregnancy.<sup>198–200</sup> Because the risk of complications resulting from influenza infection increases during the second and third trimesters, the physiological changes associated with pregnancy, including the stress associated with increased demands on cardiovascular output, are often cited as primary reasons for increased disease severity.<sup>201</sup> Changes in immune function and hormone concentrations associated with pregnancy may play an equally important role in explaining the increased disease severity.<sup>4</sup> During pregnancy, the immune system shifts away from inflammatory responses associated with Th1-mediated immunity, while maintaining or augmenting the anti-inflammatory Th2 and regulatory T cell responses, which are modulated by hormones, including progesterone.<sup>202</sup> This immunological shift serves to prevent immune-mediated rejection of the fetus, but also reduces the capacity of the pregnant woman to mount a strong antiviral immune response which is needed to control a primary viral infection.<sup>203, 204</sup>



*Two women prepare for childbirth at a hospital in Port-au-Prince, Haiti.*

## 7.1 Risk to the fetus

Infection of a pregnant woman can have direct and indirect effects on both the fetus and mother. While a limited number of case reports suggest influenza infection of the fetus can occur<sup>205–207</sup>, there appears to be minimal risk to the fetus from maternal infection with influenza virus, as judged by preterm delivery rates, spontaneous abortion rates or the presence of fetal abnormalities.<sup>198, 208</sup> A notable exception was in the case of the 1918

pandemic, where the rate of spontaneous abortion in influenza-infected pregnant women was estimated to be 26%.<sup>209</sup> There appears to be very little risk of direct infection of the fetus with influenza<sup>210</sup> and the teratogenic effects of fever resulting from influenza infection do not appear to lead to additional cases of fetal abnormalities.<sup>211, 212</sup>

## 7.2 Morbidity

Influenza infection of pregnant women results in higher rates of severe disease and hospitalization in response to both seasonal and pandemic influenza viruses when compared to the general population (see Figure 4A) or to age-matched nonpregnant women. During seasonal influenza, rates of hospitalization have been demonstrated to be two to 18 times higher in pregnant women when compared to nonpregnant women, with a marked increase associated with the second and third trimesters of pregnancy.<sup>213–218</sup> Hospitalization rates were also significantly higher for pregnant women than for the general population during the 1918 and 1957 pandemics.<sup>209, 219–221</sup> There also is a strong association of severe disease and increased hospitalization rates in pregnant women infected with 2009 H1N1 (see Figure 4B), particularly during the second and third trimesters in Australia, Canada, Europe, New Zealand, South Africa and the United States, but not in Mexico or Singapore.<sup>43–45, 61, 201, 222–227</sup>

## 7.3 Mortality

Pregnant women have a higher rate of mortality after influenza infection during pandemics than nonpregnant women. The mortality rate of pregnant women in response to seasonal influenza infection is not consistently higher, though there are increased numbers of fatalities during years in which seasonal influenza is particularly severe.<sup>198</sup> During the 1918 pandemic, mortality rates in the United States among pregnant women were estimated to be as high as 25%.<sup>209, 219</sup> Mortality rates in pregnant women during the 1957 pandemic were three to four times higher than those seen in nonpregnant women in the United States.<sup>220, 221</sup> The mortality rate in pregnant women during the early stages of the 2009 H1N1 pandemic appears to be greater than those seen in the general population in Australia, Canada, Europe, New Zealand, South Africa and the United States, but not in Mexico or Singapore during the early stages of the pandemic.<sup>43–45, 61, 201, 222–225, 227</sup>

## 7.4 Comorbidities

Although pregnancy alone increases the likelihood of developing severe influenza, the presence of known comorbidities, such as asthma, diabetes, smoking, and heart or renal disease, is associated with an even higher rate of

hospitalization with severe disease in both Canada and the United States.<sup>228–230</sup> In a study of pregnant women in the United States from 1974 to 1993, the presence of any comorbidity resulted in a greater than fivefold increase in the likelihood of hospitalization when compared to pregnant women with no known comorbidities.<sup>216</sup> Another study conducted in the United States demonstrated a threefold to sixfold increase in the risk of hospitalization due to respiratory illness in pregnant women with a known comorbidity, compared to healthy pregnant women.<sup>229</sup> A report from Canada (1994–2000) showed that nearly half of all pregnant women hospitalized with influenza-like illness had at least one defined comorbidity.<sup>217</sup> These data demonstrate that the risk of severe influenza in pregnant women is compounded by the presence of at least one of the known comorbidities associated with increased morbidity and mortality in nonpregnant women.

## 7.5 Biological basis for increased morbidity and mortality

Although the risk of influenza infection appears to be similar for pregnant and nonpregnant women<sup>231, 232</sup>, severe disease and mortality rates can be significantly higher in pregnant women. The precise mechanisms responsible for this have yet to be elucidated. The presence of an underlying medical condition is associated with a portion of the cases of severe influenza in pregnant women. The contribution of pregnancy-related physiological changes on lung capacity and function combined with increased cardiopulmonary demands are often cited as important factors that may increase influenza disease severity.<sup>201, 226</sup> It is equally important, however, to consider the high levels of sex hormones present during and immediately after pregnancy and their effect on the immune response to infection. The general shift to a Th2-biased immune response in pregnant women serves to limit fetal rejection, but may also contribute to increased disease severity by altering the immune response to influenza infection. Severe 2009 H1N1 disease in pregnant women is associated with significantly lower levels of total IgG antibody and, in particular, IgG2 subclass antibodies as compared with pregnant women who are either healthy or suffering from only moderate H1N1 disease.<sup>233</sup>

A careful analysis of the immune response in influenza-infected pregnant women is needed in order to assess the

role of specific immune cells and proinflammatory cytokines and chemokines in the disease pathology. A majority of data evaluating the relationship between pregnancy and influenza virus infection and vaccination have been conducted in the United States; thus, considerably more research is required before these data can be extrapolated to other regions of the world.

### 7.6 Effectiveness of antiviral therapy

Clinical trials of influenza antiviral safety in pregnant women are lacking. Amantadine use during pregnancy is contraindicated due to conflicting results from animal studies on teratogenicity and adverse side effects<sup>234, 235</sup> combined with data on adverse side effects (e.g. nervousness, anxiety, difficulty concentrating and nausea) in normal, healthy adult humans.<sup>20, 236</sup> Data on the safety of neuraminidase inhibitors in pregnant women is limited to several studies of relatively small groups of pregnant women but no adverse effects were reported.<sup>237, 238</sup> Studies of oseltamivir in populations at high risk for severe disease after infection with influenza (excluding pregnant

women) indicate no significant safety concerns when compared to the general population.<sup>239, 240</sup> Pharmacological and teratogenic studies of oseltamivir in laboratory animals have indicated no significant risks associated with either the mother or fetus.<sup>239, 241</sup> Data from the 2009 H1N1 pandemic indicate that treatment with oseltamivir within 48 hours of symptom onset can significantly improve the outcome of influenza infection of pregnant women.<sup>43, 201, 222, 242</sup>

The World Health Organization and a number of governments recommend early treatment of any pregnant woman suspected of being infected with 2009 H1N1 because early treatment has been associated with better outcomes after infection.<sup>243</sup> Oseltamivir is, however, still considered a pregnancy category C drug, indicating that additional data from clinical and animal studies are needed to assess its safety in pregnant women.<sup>20</sup> Taken together, the data on safety and efficacy of influenza antivirals in pregnant women is very limited but supports the use of oseltamivir to prevent severe influenza in pregnant women.



# 8 Influenza vaccination and pregnancy

## 8.1 Recommendations for vaccination

In Canada and the United States, pregnant women are considered one of the high risk groups for developing severe influenza and, therefore, vaccination against seasonal influenza is recommended irrespective of trimester.<sup>20</sup> This policy, however, varies among other nations with vaccination recommendations in the second and third trimesters in Australia<sup>244</sup>, but only in pregnant women with comorbidities in the United Kingdom.<sup>245</sup> A WHO position paper on influenza vaccination recommends vaccination of all pregnant women during the influenza season<sup>246</sup>, and that policy was extended to include vaccination against 2009 H1N1.<sup>247</sup>

The TIV vaccine is recommended during pregnancy as LAIV is contraindicated due to concerns over potential adverse effects to the fetus and the pregnant woman's ability to control the replication of the attenuated vaccine strains. Despite the clear increase in morbidity stemming from influenza infection of pregnant women, for the most part, vaccine coverage rates tend to lag behind those seen in the general population.<sup>168, 248, 249</sup> In a United States study of influenza vaccination rates from 1997 to 2005, the coverage rate for pregnant women ranged from 9.3 to 14.4%, which was lower than that observed with other high-risk populations, such as those 65 and older (60.2–70%), 18–49 year olds with high risk conditions (17.6–27.2%), or 50–64 year olds with high risk conditions (33–50.5%).<sup>250</sup>

A number of reasons are cited for the lack of influenza vaccine coverage in pregnant women. A Canadian study found that nearly 90% of pregnant women were unaware of the increased risks associated with influenza infection during pregnancy.<sup>251</sup> In surveys of health-care providers in the United States, nearly half would not recommend or encourage vaccination with a pandemic influenza vaccine due to concerns over administering vaccines to pregnant women.<sup>252</sup> In a survey of obstetrician-gynaecologists from the United States, only 52% would recommend influenza

vaccination to pregnant women in their first trimester, while 95% would recommend it to women in their second or third trimesters. Only 36–38% of those physicians, however, actually offered the vaccine to their patients.<sup>253</sup> Increased awareness of the benefits of vaccination as well as a recommendation for vaccination from a health-care provider were important factors associated with the acceptance of seasonal influenza vaccination by pregnant women.<sup>254</sup> These data suggest that making health-care providers and pregnant women more aware of the United States' Advisory Committee on Immunization Practices (ACIP)<sup>20</sup> and WHO recommendations for vaccination<sup>246</sup> in addition to the data on the safety of TIV in pregnant women could increase vaccine coverage rates worldwide.

## 8.2 Adverse side effects and safety

There is a good record of vaccine safety in pregnant women, particularly with regard to immunization during the second and third trimester. A long term study of over 50 000 immunized women and their children in the United States, of whom over 2000 received TIV, demonstrated no significant increased risk of adverse side effects to TIV.<sup>255, 256</sup> Another study of 252 TIV-immunized pregnant women in the United States showed no adverse side effects in mothers or children born to the immunized mothers when compared with unimmunized pregnant women.<sup>257</sup> Passive surveillance for adverse side effects to influenza vaccination has been conducted through the Vaccine Adverse Event Reporting System in the United States and no significant increases in adverse effect reporting have been noted in the over two million pregnant women who have received TIV.<sup>258</sup> There appears to be no adverse effect of the MF59-adjuvanted influenza vaccines on pregnancy outcomes, a preliminary but important finding given the use of MF59-adjuvant in pandemic influenza vaccines used in Canada, Europe and elsewhere.<sup>259</sup> Taken together, the data on TIV immunization of pregnant women indicate that there are no major adverse side effects to mother, fetus or child from TIV immunization.

### 8.3 Vaccine efficacy

Studies demonstrating the efficacy of influenza vaccination in either inducing antibody correlates of protection or protection from confirmed influenza infection are not as extensive as the TIV safety studies in pregnant women. Studies carried out during several influenza seasons in the early 1960s in the United States indicated that influenza vaccination was equivalent in pregnant and nonpregnant women with respect to adverse side effects and antibody responses.<sup>260</sup> Immunization of pregnant women in the United States with the 1976 'swine' influenza vaccine was determined to be as safe and immunogenic as vaccination of nonpregnant women.<sup>261–263</sup> These studies formed at least part of the basis for the assumption that pregnant and nonpregnant women respond to TIV vaccine in a similar manner. In a 2008 study from Bangladesh, 340 pregnant women randomly assigned either TIV or pneumococcal vaccine showed a vaccine efficacy rate of 63% against laboratory confirmed influenza, which represents the first prospective study of confirmed protection from influenza by TIV in pregnant women.<sup>264</sup>

### 8.4 Pregnancy and the immune response to vaccines

Clinical or animal studies designed to investigate the optimum dosing and range of immune responses to influenza vaccine in pregnant females could provide important information as to how to effectively vaccinate this population. These studies also would serve to further alleviate fears over vaccine safety and efficacy by providing data to support the assertion that influenza vaccination is not only safe but also effective in pregnant woman. Based on data describing the Th2 polarization of the antibody response in pregnant women, it is surprising that pregnant and nonpregnant women respond equivalently to a uniform TIV dose.<sup>260–263</sup> Because influenza vaccines are designed to induce a strong protective immune response across both sexes and a wide spectrum of age groups<sup>182</sup>, it may be that the administered TIV doses are high enough to mask pregnancy-associated differences in the immune response of women.

# 9 Conclusions and recommendations

- Conclusions about the precise impact of sex and gender on influenza infection and vaccination are difficult to determine because most published studies do not disaggregate data by both age and sex.
- Sex hormones have a documented effect on immune responses and because hormone levels vary significantly over the life-course, an effect of sex hormones on responses to influenza may not be apparent unless responses are further disaggregated by age, as outlined in the WHO Gender Strategy.<sup>7</sup>
- Societal and behavioural differences between males and females contribute to differences in exposure to and outcome of influenza virus infection.
- Sex-specific effects of a number of influenza risk factors vary considerably around the world which may further contribute to the differences in the outcome to infection seen across geographical regions.
- With regard to morbidity and mortality from seasonal, outbreak and pandemic influenza viruses, there is evidence that the outcome of infection is worse for females, but the magnitude of this difference varies across countries and it is likely that the differential contribution of gender and sex varies in different regions of the world.
- In response to vaccines, females consistently mount higher antibody responses and experience more frequent and severe side effects, which should be considered in the drafting of guidelines for vaccination worldwide.
- Recommendations for vaccination and the use of antivirals against influenza in pregnant women are based on limited clinical and animal model data. Improving the scientific data supporting these recommendations would greatly improve the public health argument for vaccination and administration of antiviral treatments in pregnant women.
- Improved awareness of the risks of influenza to pregnant women could increase vaccination rates, particularly if health-care providers of pregnant women were targeted with this information.
- Future research should consider the physiological differences between males and females, including differences in hormone concentrations and immune responses to infection and vaccination, in greater detail as there may also be biological mechanisms mediating sex-specific development of disease and outcome of infection.
- Although the increased risk of seasonal and pandemic influenza to pregnant women has been well documented, the biological basis of this risk has yet to be defined or studied comprehensively. A better understanding of how pregnancy alters susceptibility to severe influenza would provide important data that could augment the treatment of influenza-infected pregnant women.
- The economic and societal impact of male-female differences in the outcome of influenza virus infection among individuals of reproductive age should be considered.



# 10 Statistical data

**Table 1**

**Male-female differences in response to influenza A virus infection**

Dependent measure	Influenza	Virus	Sex difference <sup>1</sup>	Study population <sup>2</sup>	Study country	Reference nos.	
Incidence/ exposure	Seasonal	H3N2/ H1N1	M > F	All ages	Spain	24, 265	
			M > F	Infants/ toddlers	USA	25	
	Outbreak	H5N1	F > M	After puberty	China, Egypt	26, 139	
			M > F	Adults	Cambodia	137	
			F > M	All ages	Indonesia	26	
	Pandemic	2009 H1N1	M > F	10–19	Canada	266	
			F > M	20–39			
			M = F	40+			
			F > M	20–39	Argentina		28
			M > F	40–59			
F > M	0–19	France	29				
F > M	15–49	Brazil	30				
M = F	All ages	Belgium, Italy, Peru	31–33				
Rate of hospitalization	Seasonal	H3N2/ H1N1	M > F	All ages	Canada	38	
			M > F	Before puberty	Denmark	41	
			M > F	Infants	Canada	39	
			F > M	After puberty	Denmark	41	
	Pandemic	2009 H1N1	F > M	Adults	Canada	43	
			F > M	After puberty	Australia, Brazil	30, 51	
			M = F	All ages	Australia, Mexico, New Zealand, USA	44, 225, 267	

Table 1 continued

Dependent measure	Influenza	Virus	Sex difference <sup>1</sup>	Study population <sup>2</sup>	Study country	Reference nos.
Morbidity/mortality	Seasonal	H3N2/H1N1	F > M	Elderly	Portugal	<i>53</i>
			M > F	Elderly	Switzerland	<i>54</i>
	Pandemic	H2N2	F > M M > F	1–44 45+	USA	<i>55</i>
	Epidemic	H2N2	F > M M > F	1–44 45+	USA	<i>55</i>
	Outbreak	H5N1	F > M	After puberty to adults	Egypt, Indonesia	<i>57, 268</i>
			M = F	Adults	China, Viet Nam	<i>269, 270</i>
	Pandemic	1918 H1N1	M > F	After puberty	Australia, Denmark, Finland, France, Italy, the Netherlands, New Zealand, Norway, Spain, Sweden, Switzerland, the United Kingdom, USA	<i>58–60</i>
			F > M	Adults	South Africa	<i>61</i>
			M > F	Adults	Australia	<i>51</i>
		2009 H1N1	M = F	Adults	Brazil, Peru	<i>30, 33</i>

<sup>1</sup> For all reported differences between males (M) and females (F), only differences that were determined to be statistically significant are reported.

<sup>2</sup> Infants/toddlers = 6–59 months of age; before puberty = < 10 years of age; after puberty = > 10 years of age; adults = > 18 years of age; elderly = > 65 years of age.

**Table 2**

**Male-female differences in response to influenza virus vaccination and antivirals**

Dependent measure	Type of treatment	Sex difference <sup>1</sup>	Study population <sup>2</sup>	Study country	Reference nos.
<b>VACCINES</b>					
Likelihood of getting vaccinated	LAIV/TIV	M = F	All ages	Spain	271
		M > F	All ages	Czech Republic, France, Italy, Spain, Poland, Portugal	166
		M = F	All ages	Austria, Finland, Germany, Ireland	166
		F > M	Adults	The United Kingdom	272
		M > F	Adults	The Netherlands	167
		F > M	Adults	USA	165
		M = F	Elderly adults	USA	165
	M > F	Elderly adults	USA	169	
	LAIV	M > F	Adults	USA	168
Negative beliefs about vaccination	TIV	F > M	Elderly adults	USA	170
Intentions to decline vaccine	H5N1 and H1N1 vaccines	F > M	Adult health-care workers	China	171
Humoral/antibody response	TIV	F > M	Adults	The United Kingdom, USA	174, 175, 177, 179
		F > M	Elderly adults	Australia, China, USA	172, 173, 176, 178
Adverse reactions	TIV	F > M	Adults	The Netherlands, USA	175, 179, 183, 184, 186
		F > M	Elderly adults	Australia, the Netherlands, Slovenia	172, 176, 183, 187, 188, 273, 274
		M = F	Adult health-care workers	Turkey	275
<b>ANTIVIRALS</b>					
Prescription of antivirals	Oseltamivir	M = F	Infants-adults	USA	193
	Rimantadine, Zanamivir	M = F	Adults	USA	194, 195
Effectiveness of antivirals	Oseltamivir	M > F	Adults	Argentina, Canada, China, Europe, USA	197
Adherence to antiviral treatment	Oseltamivir	M = F	Adults	Israel	196
Inappropriate prescription of antibiotics	Any	F > M	Adults	USA	194

<sup>1</sup> For all reported differences between males (M) and females (F), only differences that were determined to be statistically significant are reported.

<sup>2</sup> Infants/toddlers = 6–59 months of age; before puberty = < 10 years of age; after puberty = > 10 years of age; adults = > 18 years of age; elderly = > 65 years of age.

Figure 1

Individuals with immunodeficiencies, including HIV, are at an increased risk for severe outcome of influenza. HIV prevalence is higher in women than men in several countries<sup>147</sup>, which may increase the risk of severe outcome of influenza in women.

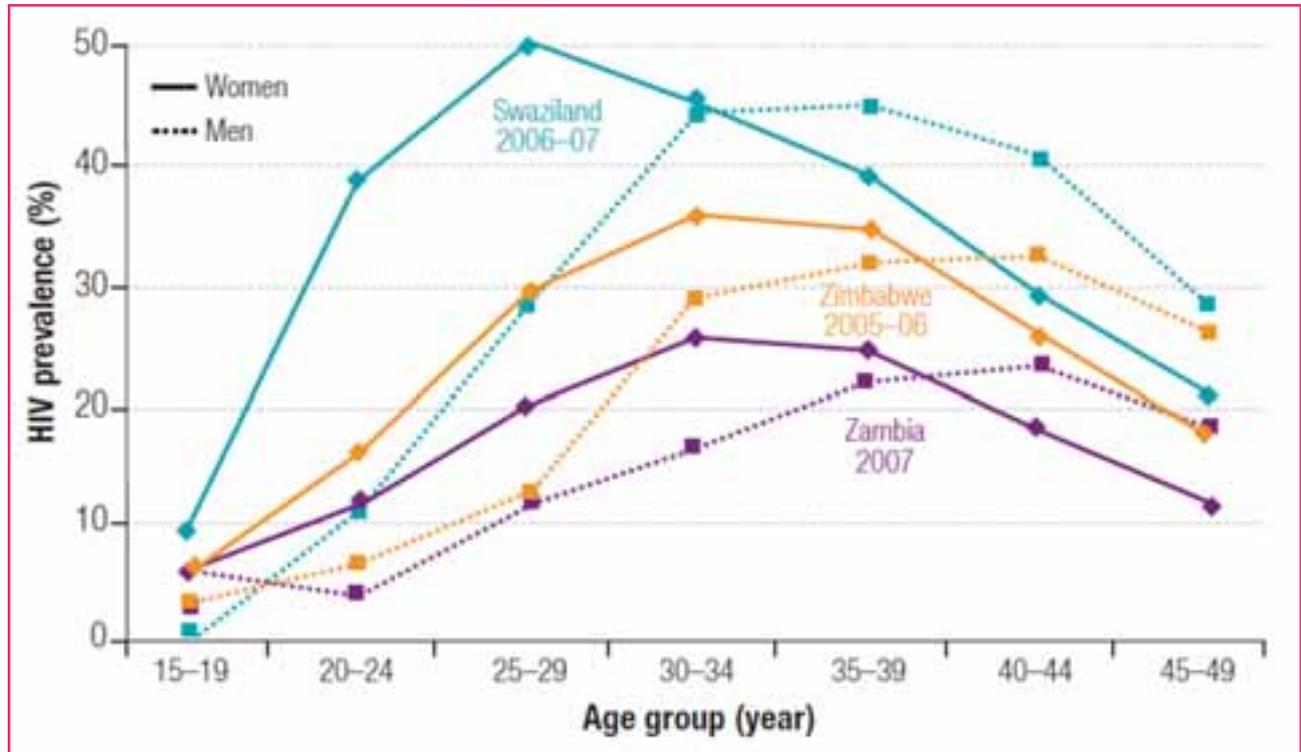


Figure 2

**Tobacco use is associated with increased severity of influenza illness.** Smoking is much more common among adult and adolescent males than females in most WHO regions examined 2002–2008<sup>276</sup>.

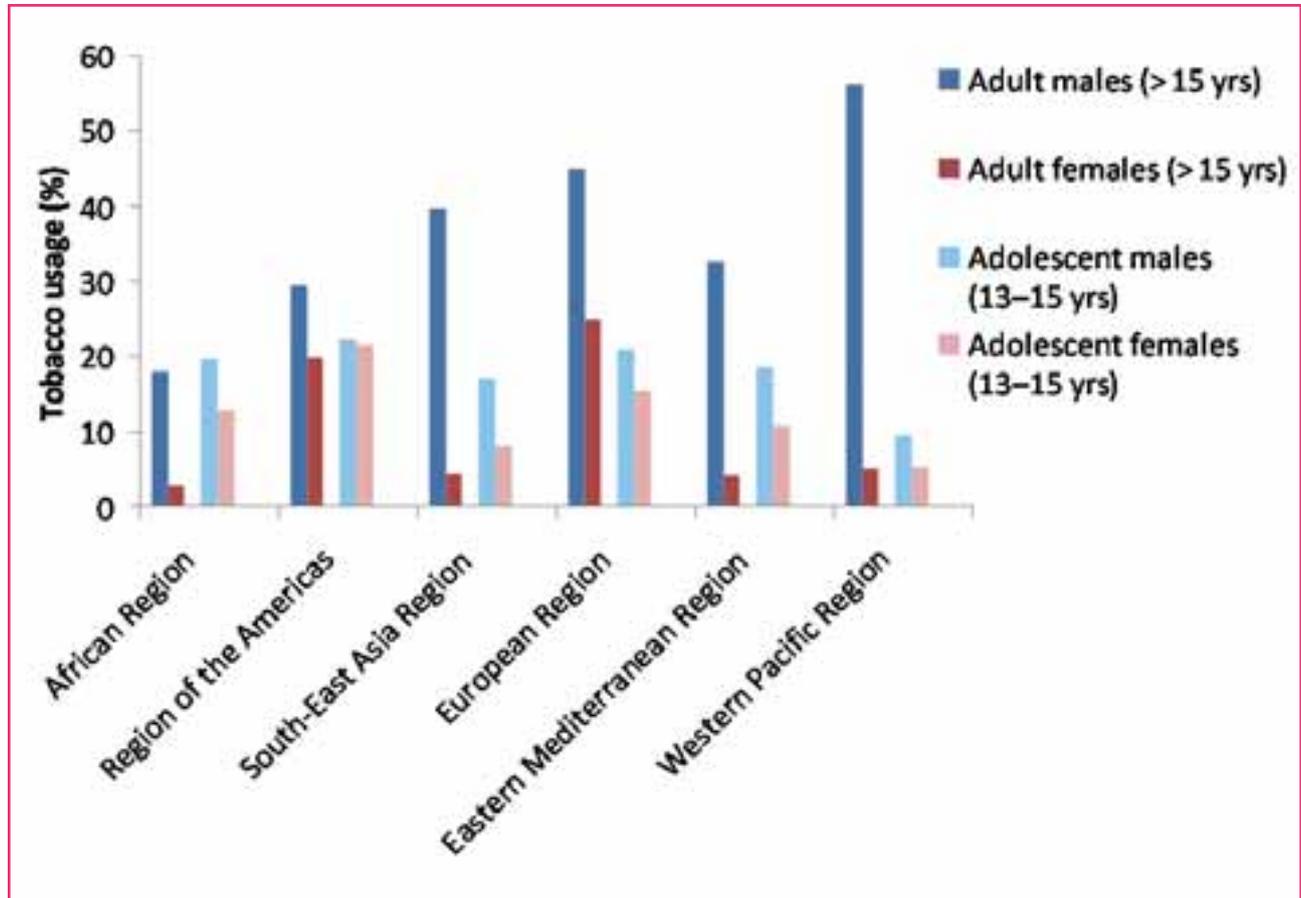


Figure 3

**Health-care workers are at an increased risk of exposure to influenza viruses.** Women constitute a majority of the formal health workforce in many countries, based on analyses of the health workforce 1989–1997<sup>149, 277</sup>, which may increase the likelihood of exposure to influenza among women compared with men.

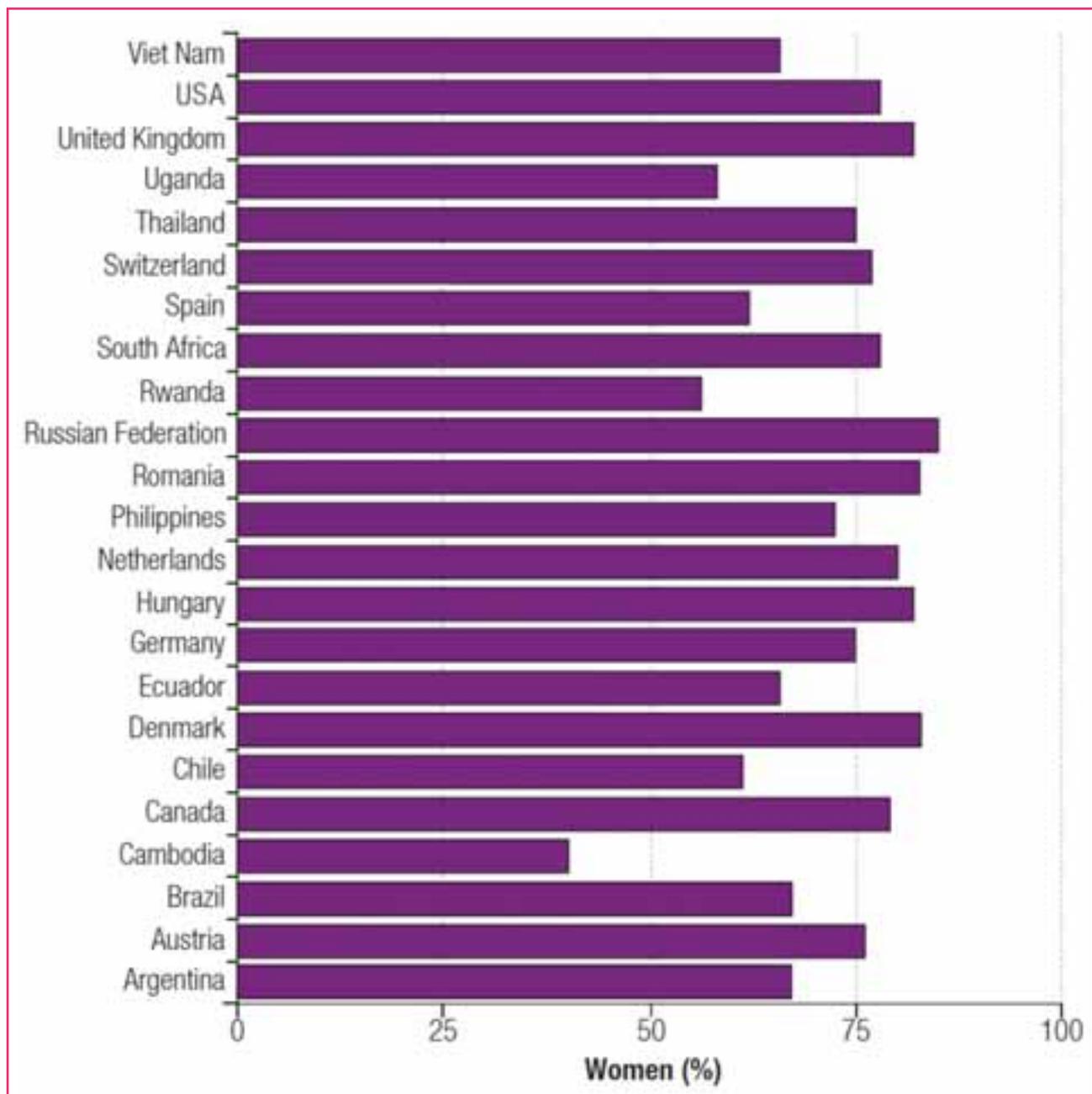
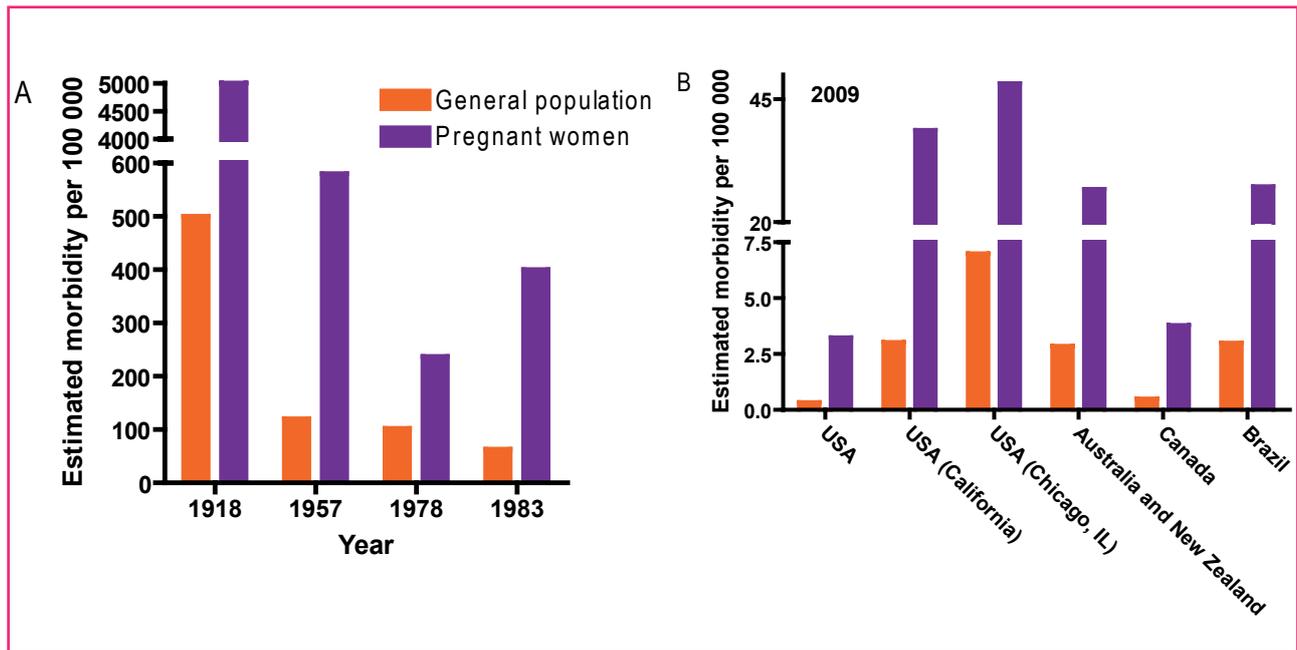


Figure 4

**Rates of severe influenza disease are increased among pregnant women.**

(A) Estimated morbidity in pregnant women when compared to the general population during two pandemics (1918, 1957) and two seasonal years. Morbidity estimates are calculated based on datasets for 1918<sup>209, 278, 279</sup>, 1957<sup>220, 221</sup>, 1978<sup>215</sup>, and 1983.<sup>216</sup> (B) Estimated morbidity rates during the first wave (April–June) of the 2009 H1N1 pandemic in select countries or geographical regions. Morbidity estimates for 2009 are calculated based on datasets for Australia and New Zealand<sup>44</sup>, Brazil<sup>30</sup>, Canada<sup>43</sup>, and the United States.<sup>45, 267, 280</sup> Estimates of the general and pregnant women populations are based on data from the United States Census Bureau or WHO.





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Whilst sex and gender are known to have an impact on the vulnerability of people to a number of infectious diseases, the impact of sex and gender on exposure, susceptibility and immune responses to acute infections has not yet been explored comprehensively.

This publication, *Sex, gender and influenza*, draws on information from seasonal epidemics, pandemics and localized outbreaks of influenza, and focuses on the different effects of such infections on males and females, including pregnant women. The results suggest that the outcome of infection is generally worse for females, but that the magnitude of this difference varies across geographical regions. Although the increased risk of seasonal and pandemic influenza to pregnant women has been documented, the biological basis of this risk has yet to be defined. Furthermore, while pregnancy is one factor contributing to a worse outcome in women, several additional risk factors may influence male-female differences.

The paper concludes that significantly more research is required to gain a more complete understanding of the complex and varied effects of sex and gender on influenza infection and vaccination, and underscores the need to consider their interplay with any infectious disease of global concern.

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